

MEMORANDUM

DATE: May 18, 2023

TO: Governor's Office of Budget, Planning and Policy
Legislative Budget Board

FROM: Natalie Ramello, Vice President, Chief Compliance Officer, and Interim Chief Audit Executive, Office of Institutional Compliance & Audit Services

SUBJECT: 23.20 Terminations Review
Issued to SAO: May 18, 2023

The Office of Internal Audit Services completed its Terminations Review in quarter 2 of Fiscal Year 2023 as per the audit plan.

The primary objective of this audit was to evaluate policies, procedures, processes, and tools for personnel terminations, transfers, and dual roles (i.e., student and employee) as related to timeliness, integrated system access, non-integrated access, and monitoring. This included processes to evaluate if terminated personnel received payroll payments or benefits post-termination, and that they did not retain integrated and non-integrated access post-termination. In addition, there was a review of transferred in-scope personnel to evaluate whether they retained inappropriate non-integrated access post-transfer.

The report contains confidential information that relates to termination processes and is not subject to the disclosure requirements of the Texas Public Information Act, based on the exception found in Government Code §552.139. Specific results were communicated to the appropriate management and Audit Committee members.